

2008 Annual Work Plan
Country: Bhutan

UNDAF Outcome 4: By 2012, institutional capacity and people's participation strengthened to ensure good governance.

CT Outcome 1: Transparency, efficiency, effectiveness, participation and accountability strengthened at all levels

CT Output(s): 1.4 Capacity of key national agencies enhanced in combating corruption and promoting accountability

Implementing partners: Office of the Anti-Corruption Commission (ACC) and the Royal Audit Authority (RAA)


Other partners: Gross National Happiness Commission (GNHC)

Narrative


- a) 1. The Annual Work Plan (AWP) covers the support to the Institutional and Human Capacity Development in the Anti-Corruption Commission (ACC) and the Royal Audit Authority (RAA). With its implementation the capacity of the ACC and the RAA will be enhanced to a) A National Anti-Corruption Strategy (NACS) being developed, b) building the prevention and investigative capacity of OACC officers c) the Comprehensive Continuing Professional Development Policy (CPDP) drafted and endorsed and d) capacity building of internal auditors, IT audit and further professionalization of auditors and other relevant functionalities for improved delivery of services.
2. In collaboration with implementing partner (IP) and UNDP, the Gross National Happiness Commission coordinates the overall AWP implementation and progress review through quarterly, mid-year and annual review meetings. The IP is responsible for ensuring the achievement of AWP results through day-to-day management, implementation, monitoring of the activities, proper use of funds and submission of quarterly financial and progress reports. UNDP is responsible for monitoring, timely release of funds, and technical support to implementation.
3. The partners adopt HACT procedures for fund request, release and reporting. Quarterly Direct Cash Transfer modality is applied and reimbursements or Direct Payment when necessary with prior agreement between the partners. Assurance activities such as joint field monitoring, spot checks and scheduled audits will be conducted after agreement with the GNH Commission and the IP based on the micro-assessment recommendation.

Programme Period: **2008-2012**
 Programme Title: *Institutional and Human Capacity Building of Anti-Corruption Commission and the Royal Audit Authority strengthened*
 AWP Title: Capacity development of ACC and RAA
 Budget Code:
 Duration: 12 months


Estimated annualized budget: USD 489,500
 Allocated resources:
 - Government
 - UN Agencies:
 • UNDP : (RR) USD 65,000 (ACC)
 • UNDP : (RR) USD 108,250 (RAA)
 • UNDP : (OR) USD 80,250(RAA) Aus AID
 • UNDP : (OR) USD 235,500 (ACC) Republic of Korea(ROK)

Agreed by Coordinating partner

 Mr. Karma Tshitem
 Secretary
 GNH Commission

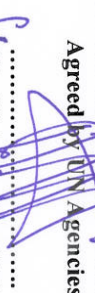
29/8/08

Agreed by Implementing partner

 Ms. Neten Zangmo
 Chief Commissioner
 Anti-Corruption Commission

29/8/2008

Agreed by Implementing partner

 Mr. Ugen Chewang
 Auditor General
 Royal Audit Authority

29/8/2008

Agreed by UN Agencies

 Mr. Nicholas Rosellini
 Resident Representative
 United Nations Development

2/9/08

ANNUAL WORK PLAN

EXPECTED CP OUTPUTS (including indicators and annual targets)	PLANNED ACTIVITIES	TIMEFRAME				RESPONSIBLE PARTY		PLANNED BUDGET		
		Q1	Q2	Q3	Q4	Partner	UN	Source of Funds (RR/OR/Other)	Budget Description	Amount (USD)
1.4 Capacity of key national agencies enhanced in combating corruption and promoting accountability	Strengthen the human and institutional capacity of the ACC develop an institutional system to tackle corruption-									
Indicators: - Public perception on the degree of corruption in public service delivery - 40,000 electorates trained in anti-corruption	a) A National Anti- Corruption Strategy (NACS) through stakeholder's consultative meeting with stakeholders at central level developed.		X	X	X	ACC	UNDP	RR	Travel DSA/Misc.	9,500
	b) Support consultative meeting with local leaders			X	X	ACC	UNDP	RR	Travel /Misc.	2,000
	c) Support for infrastructure and equipment procurement		X	X	X	ACC	UNDP	RR	(2 laptops, 1 hidden camera, 2 videocam, 1 colour printer, 1 projector)	18,000
	d) Support to the national anti- corruption road show to curb political corruption by local media firm		X	X		ACC	UNDP	RR		21,000
	e) Support ACC to broadcast the political corruption advertisements on local/national media	X	X	X	X	ACC	UNDP	RR		11,500
	f) monitoring and evaluation		X	X	X	UNDP NEX	UNDP			3,000
	Sub total 65,000									
	Strengthen partnership and ownership to good governance initiatives and promote anti corruption indicators and institutionalize the NAC Strategy									
	1. Quality of public education materials		X	X	X	ACC	UNDP	OR		
	1.1 Training of Trainers (TOT) of OACC and relevant agencies on production of professional public education materials on anti- corruption strengthened.								Int'l TA Travel DSA	30,000
	1.2 Conduct Training of Trainers session (TOT) for the OACC, public, media, CSO's etc.			X	X	ACC	UNDP	OR	Misc.	1,000
	1.3 Ex-country- Study and field tour for OACC, medias and CSO's to study best practices of		X			ACC	UNDP	OR	Travel DSA	24,500

Targets:
-National Anti-Corruption Strategy (NCAS) developed.

networking with media, civil societies on public education and advocacy material development and implementation									
2. Pilot grievance redressal system developed and established:	X	X		ACC	UNDP	OR	Int'l TA Travel DSA Cost	36,500	
2.1 Support the establishment of an "Open system" for the Thimphu municipality									
2.2 Establishment of a functional software for the pilot grievance and build capacity of the municipality to maintain the system	X	X		ACC	UNDP	OR	Misc.	7,500	
3. Monitoring and evaluation practice established:		X	X	ACC	UNDP	OR	Int'l TA Travel DSA Cost	30,000	
3.1 Functional capacity to monitor and evaluate the implementation of the institutional mechanisms like the code of conduct, integrity pact, conflict of interest and transparency of the OACC strengthened.									
3.2 Conduct TOT for focal persons from the OACC, RCSC, public organizations, police, judiciary and Civil Society Organizations'.		X	X	ACC	UNDP	OR	Misc.	5,000	
4. Support the research and implementation of anti-corruption strategies:		X		ACC	UNDP	OR	Travel DSA	24,500	
4.1 Ex-country- Support to strengthen the Prevention Unit to conduct scientific methods to measure performance on corruption as a professional institution									
5. Conduct workshop / seminar / training with TOT:		X		ACC	UNDP	OR	Travel Misc.	2500	
5.1 Training of national stakeholders to develop capacity in advocacy and education material production by Master Trainers (TOT)									
5.2 Training of national stakeholders to monitor and evaluate the functional mechanisms established by Master Trainers.		X		ACC	UNDP	OR	Misc.	2500	
6. Support National Council on Prevention of Corruption;			X	ACC	UNDP	OR		10000	
6.1 Support the establishment of National Council for corruption prevention and improve coordination among stakeholders									

6.2 The NACS published and printed				X	ACC	UNDP	OR		17,000
6.3 Production of pamphlet, "Corruption. What can you do?"									3,000
7. Identify indicators to assess OACC performance and impact developed				X	ACC	UNDP	OR		30,000
7.1 Conduct corruption perception survey to ascertain baseline corruption index.									
7.2 Undertake research to find baseline measurement in construction sector.									
7.3 Undertake diagnostic studies to design intervention in procurement of goods and services.									
Assurance activities/M and E			X	X	UNDP NEX	UNDP	OR		11,000
Sub total 235,500									

Professionalization of the human and institutional capacity of the RAA

Strategic human resource capacity and management development;

1. Capacity of Supervisory level auditors developed to lead audit teams, supervise and monitor to provide quality and accountable audit reports.	X	X	X	RAA	UNDP	RR			12,500
2. Develop a harmonized mandate for internal auditors	X	X	X	RAA	UNDP	UNDP OR/AUSAID			10,000
-Mandatory in-house training package for auditors to be developed									
-Five in-house trainings to be conducted in 1. Quality assurance 2. Introduction to IT audit 3. Dzungkha Unicode 4. Introduction to performance audit 5. Introduction to certification audit									
3. Ex-country Training of Trainers (TOT) capacity to train, develop materials and enhance knowledge strengthened.			X	RAA	UNDP	UNDP OR/ AUSAID	Travel DSA		20,000
4. Ex-country- Support International auditor fellowship focused on audit knowledge, skills, approaches, criteria and methodology strengthened		X	X	RAA	UNDP	RR	Travel DSA		18,000
5. Support to the audit reports in IT use, trouble shooting and network for improved delivery of services			X	RAA	UNDP	RR			4,000
-Basic certificate in IT upgraded for efficient and effective audit reports									

1.4 Capacity of key national agencies enhanced in combating corruption and promoting accountability

Indicators:

- 15 auditors at the supervisory level capacity developed.
- 70 auditors from mid and junior level trained

Targets:

- Harmonized mandate and training package for internal auditors developed
- The Comprehensive Continuing Professional Development Policy (CPDP) drafted and endorsed.

6. Ex-country-Support to the Quality Assurance Unit to standardize audit findings, observations, recommendations, formats and structure of reports in line with the RAA Capacity Building Needs Assessment, 2007 strengthened. -Support to train audit officer in HR auditing to audit agencies			X	X	RAA	UNDP	RR	Travel DSA	26,000
7. Ex-country-Support auditors to the new audit procedures that requires auditors to crosscheck responsibilities and duties prescribed in the BCSR 2006, HRO manuals and other rules and regulations strengthened -Support to check and balance for trainings, recruitments and other such cases strengthened. -Support to introduce effective HR audit in audited agencies			X	X	RAA	UNDP	RR	Travel DSA	7,000
8. Ex-country- Support the environmental unit to conduct the audits related to environment like waste management, water, air, noise, pollution, forest management strengthened			X	X	RAA	UNDP	UNDP OR/ AusAID	Travel DSA	35,000

Institutional capacity, knowledge sharing and network strengthened:

9. Support the Annual Audit Conference to draft and endorse the Comprehensive Continuing Professional Development Policy (CPDP) drafted and endorsed. -Support creation of a platform for exchange of knowledge, network and drafts for capacity development manuals.			X	X	RAA	UNDP	UNDP OR/ AusAID	Travel DSA	12,000
10. Ex-country- Support to the International Standing Committee on IT audit at 17 th ISCITA meet.			X	X	RAA	UNDP	RR	Travel DSA	11,500
11. Support the data management through documentation and archiving of audit materials			X		RAA	UNDP	RR		2,000
12. Support equipment procurement for field auditors aligned to the INTOSAI auditing standardization			X		RAA	UNDP	RR	13 laptops, 1 overhead projector	18,000
13. Support training equipment and materials procurement			X		RAA	UNDP	RR	20 USB 3 whiteboard	3,500
14. Monitoring and evaluation			X	X	UNDP NEX	UNDP	RR		9,000

	15. General Management Support			X	X	UNDP NEX	UNDP	UNDP OR/ AusAID	GMS	3250
Total (RR)										173,250
Total (OR)										ACC/ROK 235,500 RAA/ AusAID 80,250
Total										489,000
										Sub total 188,500